



10-21-02

2185
#6
BT

Express Mail No. EV035493266US

Attorney Docket No. P01-3904 1024.02

Client/Matter No. 68854.0191

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Clark E. Lubbers, R. Brian Schow, Wayne Umland, Randy L. Roberson and Robert G. Bean

Serial No. 10/053,991

Filed: October 22, 2001

For: HIGH PERFORMANCE MULTI-CONTROLLER PROCESSING

Group Art Unit: 2185

Examiner:

Confirmation No.: 8686

CERTIFICATE OF MAILING BY EXPRESS MAIL

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the attached:

1. Information Disclosure Statement;
2. Form PTO/SB/08A, with references;
3. Certificate of Mailing; and
4. Return card

relating to the above application, were deposited as "Express Mail" Mailing Label No. EV035493266US, with the United States Postal Service, addressed to The Assistant Commissioner for Patents, Washington, D.C., 20231 on 18 October 2002

RECEIVED

OCT 22 2002

Technology Center 2100

18 October 2002
Date

15 October 2002
Date

Mailer

William J. Kubida, Reg. No. 29,664
HOGAN & HARTSON LLP
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(719) 448-5909 Tel
(303) 899-7333 Fax



Express Mail No. EV035493266US
Attorney Docket No. P01-3904
Client/Matter No. 68854.0191

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Clark E. Lubbers, R. Brian Schow, Wayne Umland,
Randy L. Roberson and Robert G. Bean

Serial No. 10/053,991

Filed: October 22, 2001

For: HIGH PERFORMANCE MULTI-CONTROLLER
PROCESSING

Group Art Unit: 2185

Examiner:

Confirmation No.: 8686

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97

RECEIVED

Assistant Commissioner for Patents
Washington, D.C. 20231

OCT 22 2002

Technology Center 2100

Sir:

Applicant hereby submits for filing under 37 CFR 1.97 a disclosure statement. In submitting these references, no representation is made or implied that the references are or are not material to the examination of this application. The patents, publications or other information of which Applicant is presently aware are listed in Form PTO/SB/08A submitted herewith and copies of all such patents and publications are attached hereto.

No fee is believed due for this submittal. However, any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

Signed on 15 October 2002

Respectfully submitted,

William J. Kubida, Reg. No. 29,664
HOGAN & HARTSON LLP
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(719) 448-5909 Tel
(303) 899-7333 Fax



PTO/SB/08A (10/01)
(Substitute for form 1449A/PTO)

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Sheet 1 of 2

ATTY. DOCKET NO. P01-3904
Client/Matter No. 68854.0191

APPLICATION NO.
10/053,991

FIRST NAMED INVENTOR
Clark E. Lubbers, R. Brian Schow, Wayne Umland, Randy L. Roberson and
Robert G. Bean

FILING DATE
October 22, 2001

ART UNIT
2185

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document No. No. - Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Rel vant Passages or Relevant Figures Appear
		6,188,973 B1	3/29/99	Martinez et al.	
		6,237,112 B1	6/26/98	Yoo et al.	
		6,243,790 B1	3/25/97	Yorimitsu	
		5,835,700	8/16/96	Carbonneau et al.	
		6,266,721 B1	10/1/97	Sheikh et al.	
		US-6,073,209	06/06/00	Bergsten	
		US-6,282,610 B1	08/28/01	Bergsten	
		US-6,260,120 B1	07/10/01	Blumenau et al.	
		US-6,038,689	03/14/00	Schmidt et al.	
		US-5,987,622	11/16/99	Lo Verso et al.	
		US-5,822,777	10/13/98	Leshem et al.	
		US-5,815,649	09/29/98	Utter et al.	
		US-5,513,314	04/30/96	Kandasamy et al.	
		5,109,318	5/7/90	Funari et al.	
		5,815,371	9/26/96	Jeffries et al.	
		5,184,281	3/3/92	Samarov et al.	
		6,278,609	6/27/97	Suzuki et al.	
		6,282,096	4/28/00	Lo et al.	
		6,282,094	4/10/00	Lo et al.	
		US-5,996,089	11/30/99	Mann et al.	
		US-5,832,222	11/03/98	Dziodosz et al.	
		US-6,148,414	11/14/00	Brown et al.	

RECEIVED
OCT 22 2002
Technology Center 2100

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Doc Cntry code - No. - Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns. Lines Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
						YES	NO



PTO/SB/08A (10/01) (Substitute for form 1449A/PTO)		ATTY. DOCKET NO. P01-3904 Client/Matter No. 68854.0191	APPLICATION NO. 10/053,991
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) Sheet <u>2</u> of <u>2</u>		FIRST NAMED INVENTOR Clark E. Lubbers, R. Brian Schow, Wayne Umland, Randy L. Roberson and Robert G. Bean	
		FILING DATE October 22, 2001	ART UNIT 2185
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published	
		SMART STORAGE INC., "SmartStor InfiNet™: Virtual Storage for Today's E-economy," September 2000.	
		COMPAQ COMPUTER CORPORATION, "The Compaq Enterprise Network Storage Architecture: An Overview," May 2000.	
		COMPAQ COMPUTER CORPORATION, "Compaq Storage Works" Data Replication Manager HSG80 ACS V8.5P Operations Guide, March 2000.	
EXAMINER SIGNATURE			DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

RECEIVED
OCT 22 2002
Technology Center 2100